

11/10/04 16:00

8602860115

CANTOR COLBURN L

RECEIVED 001/005  
CENTRAL FAX CENTER

NOV 10 2004

## CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s): Dixit, et al.

Docket No.

126124-4

Application No.

10/758,347

Filing Date

January 15, 2004

Examiner

Sastri

Group Art Unit

1713

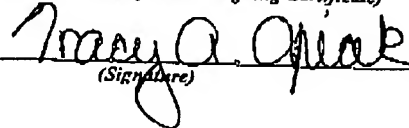
Invention: METHOD FOR PREPARATION OF AN ANTHRAQUINONE COLORANT COMPOSITION

I hereby certify that this Information Disclosure Statement (1 page); Transmittal of Information Disclosure Statement (1 page); Statement Accompanying Information Disclosure Statement (1 page); and Form 1449 (1 page)  
(Identify type of correspondence)  
is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-872-9306)

on November 10, 2004  
(Date)

Tracy A. Axiak

(Typed or Printed Name of Person Signing Certificate)

  
(Signature)

Note: Each paper must have its own certificate of mailing.

126124-4

**RECEIVED  
CENTRAL FAX CENTER**

NOV 10 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: DIXIT, ET AL. )  
SERIAL NO.: 10/758,347 ) Group Art Unit: 1713  
FILED: JANUARY 15, 2004 )  
FOR: METHOD FOR PREPARATION OF AN )  
ANTHRAQUINONE COLORANT )  
COMPOSITION )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 

Pamela J. Curbelo  
Registration No. 34,676  
Customer No. 43248

Date: November 10, 2004  
Telephone: (860) 286-2929

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(d))Docket No.  
126124-4

In Re Application Of: Dixit, et al.

| Application No. | Filing Date      | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|----------|--------------|----------------|------------------|
| 10/758,347      | January 15, 2004 | Sastri   | 43248        | 1713           | 5316             |

Title: **METHOD FOR PREPARATION OF AN ANTHRAQUINONE COLORANT COMPOSITION**Address to:  
Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ Charge Deposit Account No. 07-0862 in the amount of \$180.00
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office  
(Fax no. 703-872-9306 ) on

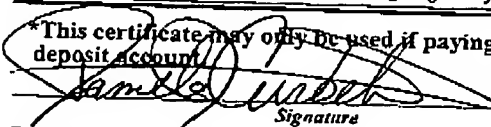
11/10/2004

(Date)

Signature  
Tracy A. Axiak

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature  
Pamela J. Curbelo  
Registration No. 34,676  
Customer No. 43248  
Phone No. (860) 286-2929

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

Dated: November 10, 2004

cc:

NOV 10 2004

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENTDocket No.  
126124-4

In Re Application Of: Dixit, et al.

Application No.  
10/758,347Filing Date  
January 15, 2004Examiner  
SastriCustomer No.  
43248Group Art Unit  
1713Confirmation No.  
5316

Invention: METHOD FOR PREPARATION OF AN ANTHRAQUINONE COLORANT COMPOSITION

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

## Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

## Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

Dated: November 10, 2004

Pamela J. Curbelo  
Registration No. 34,676  
Customer No. 43248  
Phone No. (860) 286-2929

cc:

## Certificate of Mailing by First Class Mail

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

November 10, 2004

(Date)

via facsimile to  
(703) 872-9300  
Signature of Person Mailing Correspondence

Tracy A. Axiak

Typed or Printed Name of Person Mailing Correspondence

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

Docket Number (Optional)

126124-4

Application Number

10/758,347

Applicant(s)

Dixit, et al.

Filing Date

January 15, 2004

Group Art Unit

1713

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE     | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|----------|------------------|-------|----------|-------------------------------|
|                      |     | 4,863,634       | 9/5/1989 | Claussen, et al. | 252   | 299.1    | 6/1/1984                      |
|                      |     | 5,747,632       | 5/5/1998 | Adachi, et al.   | 528   | 196      | 11/7/1996                     |
|                      |     |                 |          |                  |       |          |                               |
|                      |     |                 |          |                  |       |          |                               |
|                      |     |                 |          |                  |       |          |                               |

**U.S. PATENT APPLICATION PUBLICATIONS**

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE       | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------------|-------------------|-------|----------|-------------------------------|
|                      |     | 2003/0198892    | 10/23/2003 | Ezbiansky, et al. | 430   | 270.14   | 3/18/2003                     |
|                      |     | 2003/0205323    | 11/6/2003  | Ezbiansky, et al. | 156   | 310      | 3/10/2003                     |
|                      |     |                 |            |                   |       |          |                               |
|                      |     |                 |            |                   |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
|  |     |                 |      |         |       |          | YES         | NO |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |

**OTHER DOCUMENTS**
*(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.